



10/661,319

IPW
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|---|-----------------|----------------------|
| Applicant: | Robert L. Armstrong et al. | Examiner: | Unknown |
| Serial No.: | 10/661,319 | Group Art Unit: | 2877 |
| Filed: | September 12, 2003 | Docket: | 37000 CIP2 9906 CIP2 |
| Title: | Optical Devices and Methods Employing Nanoparticles, Microcavities and Semicontinuous Metal Films | | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 13-4213 in order to have this Supplemental Information Disclosure Statement considered.

The listed documents are not provided, but were cited by an examiner in a related application Serial No. 10/132,606, filed on April 25, 2002.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/661,319

Filing Date: September 12, 2003

Title: Optical Devices and Methods Employing Nanoparticles, Microcavities and Semicontinuous Metal Films

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Dkt: NMSUT-Armstrong CIP2

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

ROBERT L. ARMSTRONG ET AL.

By their Representatives,

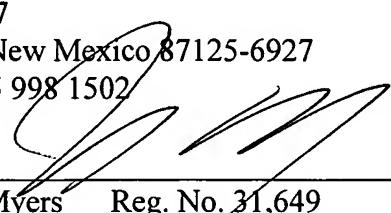
Peacock, Myers, & Adams P.C.

P.O. Box 26927

Albuquerque, New Mexico 87125-6927

Direct line: 505 998 1502

Date December 15, 2004

By 
Jeffrey D. Myers Reg. No. 31,649

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15th day of December, 2004.

Jeffrey D. Myers
Name


Signature

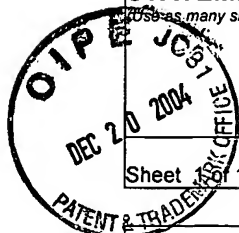
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 1

Complete if Known

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|-----------------------------|--------------------|
| Application Number | 10/661,319 |
| Filing Date | September 12, 2003 |
| First Named Inventor | Armstrong, Robert |
| Group Art Unit | 2877 |
| Examiner Name | Unknown |

Attorney Docket No: NMSUT-Armstrong CIP2

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|---------------------|------------------|---|---|
| | US-6538794B1 | 03/25/2003 | D'Aguanno, Guiseppe, et al. | |
| | US-6597492B1 | 07/22/2003 | Rosenman, Gil, et al. | |
| | US-6,791,736 | 09/14/2004 | Jain, Ajaykumar R. | |
| | US-6,795,198 | 09/21/2004 | Fuchs, Martin, et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
|--------------------|---------------------|------------------|---|---|----------------|
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------------------|---|----------------|
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EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached